

RULES AND REGULATIONS—HAWTHORNE RACE COURSE

Hawthorne Race Course reserves the right under the track owners "right of exclusion" at any time to deny admission to any part or parts of the premises to an applicant or any agent acting on his/her behalf.

Hawthorne Race Course also reserves the right under the track owners "right of exclusion" to deny entry into any race, overnight or paid-in event. This includes races upon which payment has been made at any time up to and including entry for that race.

These rights apply to all participants, patrons, employees, invitees or any persons and/or horses under their control. Nothing in these rules and regulations shall in any way limit any other rights, which Hawthorne Race Course may have.

It is considered a privilege, not a right, to participate at Hawthorne Race Track. Any conduct determined by management, in its sole and absolute discretion, to have an adverse effect on the sport of horse racing or not to be in the best interests of the sport of horse racing, may result in the imposition of a penalty in accordance with these Rules and Regulations.

These Rules and Regulations apply at all times to all of the Hawthorne Race Course's premises, including the backstretch area, and to all individuals using these areas without limitation, either as applicants, residents, party(s) to a contract with Hawthorne Race Course, or in any other capacity whatsoever. Hawthorne Race Course reserves the right to alter or amend any and all of these Rules and Regulations without notice, at any time deemed necessary.

BREACH OF RULES:

A breach of any of the rules and regulations or policies of Hawthorne Race Course may result in loss of privileges, loss of any or all fees (other than entry fees) and/or eviction from the premises. Any person having knowledge that a breach of any of the rules and regulations or policies of Hawthorne Race Course has been committed must immediately report such breach to a security officer, stable area superintendent, track superintendent or any other track employee.

It is the goal of Hawthorne Race Course to provide a level playing field for all participants and a racing product that is beyond reproach.



REGULATORY

- 1. A Racing Application must be completed and signed before entries will be accepted at Hawthorne Race Course. All conditions within that application apply in concert with these rules.
- 2. Upon accepting the right to participate at Hawthorne Race Course each trainer agrees to accept full responsibility for the care and welfare of all horses in his or her custody.
- 3. Each trainer also agrees not to act in the capacity of any other trainer for or other-wise train or enter any horses in a race for or act in the capacity of any other trainer or owner who is not properly licensed and approved to participate at Hawthorne Race Course.
- 4. All personnel must be licensed by the Illinois State Racing commission. Each trainer agrees not to employ under any circumstances an individual who is not properly licensed or is under suspension in any jurisdiction.
- 5. Trainers are responsible for providing Worker's Compensation insurance in Illinois and must follow all procedures as required by Illinois regulations.
- 6. All personnel will be subject to applicable Illinois laws as well as all rules and security policies in place while stabled or competing at Hawthorne Race Course.
- 7. A valid Illinois racing license photo badge will be issued to all participants and must be worn or visible at all times while in the stable and paddock areas.
- 8. Guest passes will be available. Please contact the Racing Office to acquire a daily pass. Guests must be accompanied by the licensed individual who has signed for the pass at alltimes.
- 9. All horses entering and leaving the stable area must be properly checked out at the stable gate.
- 10. Persons entering the stable area must be licensed, recognized and admitted by Hawthorne security representatives at the stable gate. Identification must be produced if requested and a guest pass acquired if necessary.
- 11. All persons using and of the facilities at Hawthorne Race Course will abide by the lawful direction of racetrack security personnel.
- 12. Use of the main track hours will be posted.
- 13. A veterinarian designated by the state of Illinois or Hawthorne Race Course will administer Lasix. Exact times and location will be posted.
- 14. When entering a horse for qualifiers, you MUST notify the race office if the horse is coming off Lasix in order for it to be properly designated.
- 15. State and Hawthorne Race Course rules prohibit all race day medication other than Lasix (24-hours out)
- 16. Any trainer whose horse tests positive for an ARCI Class 1 or 2 drug at Hawthorne Race Course may be excluded immediately.
- 17. Any horse testing positive may be excluded from participating at Hawthorne Race Course for a period of time to be determined by Hawthorne Race Course.



- 18. Any horse that tests positive for any prohibited drug may be required to stable on the grounds and race out of detention as a condition of continued right to participate.
- 19. Any and/or all races and/or horses may be subject to a noon detention at the discretion of Hawthorne Race Course and the Illinois Racing Governing body.
- 20. At the discretion of Hawthorne Race Course any trainer or owner may be required to stable their horses on the grounds as a condition to participate. Said horses shall remain on the grounds from time of entry until the horse has raced. Any horses that leaves the grounds prior to racing shall be scratched.
- 21. Horses stabled at Hawthorne Race Course may not be removed from the grounds on the day they are entered to race.



RACING

- 1. All horses declared to race must have Electronic Eligibility, a negative Coggins test within the required time frame, a current EHV certificate and a Health certificate issued in the past 30 days as well as any other certificates required by State rules or Hawthorne Race Course.
- 2. It is the responsibility of every trainer to have up to date knowledge of the Illinois Racing Board Medication rules.
- 3. Any horse (trotter or pacer) switching from hopple use to non-use or vice versa must notify race office at time of entry.
- 4. Horses will be placed on the stewards list and required to qualify for the following reasons. Breaking off a qualifying race on a track rated as fast or good. This is not applicable to horses that finish 5th or higher. Repeated breaks (horses showing breaks in two straight starts on tracks rated fast or good. Again this is not applicable to horses finishing 5th or higher in one of those two starts. Horses showing breaks in three straight starts regardless of track condition or finishing position. Failing to finish a race for any reason other than interference or broken equipment. Poor performance (deemed by the stewards). Failure to go in qualifying time, (not applicable to horses that finish 5th or higher.) Please check stake races or late closing events for exact conditions pertaining to those races.
- 5. Horses will be placed on the Vets list and will need to qualify in the following cases: Back to back sick scratches or lame scratches. Horses with a single sick scratch will be eligible to race on the seventh day after the sick scratch. Horses scratched lame will require a vet's affidavit before racing again.
- 6. Those declared into claiming races must have a claiming authorization on file in the Racing Office at Hawthorne Race Course.
- 7. All fees and purses are in U.S. funds.
- 8. Hawthorne Race Course reserves the right to deny stall space, reject any entry, change the program order, place improperly declared horses in correct classes and declare off any race that does not fill.
- 9. Two or more horses owned wholly, or in part, by the same person or persons, shall be uncoupled wagering interests in any race. If a race is split in two or more divisions, horses in an "entry" shall be seeded in separate divisions, but the divisions in which they compete and their post positions shall be drawn by lot. Same owner entries will have least preference in overfilled races. Owners/trainers may be limited to three (3) horses in a single race at the discretion of the Racing Secretary.



- 10. No medications are allowed in the paddock.
- 11. The use of cellular phones in the paddock shall be governed by Hawthorne Race Course management's rules and the Illinois Racing Board rules.
- 12. Drivers agree to adhere to the Rules and Policies of Hawthorne Race Course and/or the board of Stewards. (Stewards Orders regarding all driving rules and conduct are posted in the racing office, paddock and drivers room).
- 13. Drivers are required to wear colors with clean white pants or a professional training suit along with driving boots in all qualifying races. Colors, clean white pants and driving boots are also required for those warming up horses during the race cards.
- 14. Helmets must be worn with chinstraps buckled at all times while on the racetrack.
- 15. Drivers shall keep a line in each hand from the start of the race until the beginning of the open stretch or the 7/8th mile pole, as applicable. One handed whipping from that point until the finish line is restricted to elbow and wrist action only. The whipping arm shall not be raised above shoulder height or behind the driver. Penalties range from a \$200 fine to a maximum fine of \$500 for a first offense. A second offense within a 365 day period will draw a minimum fine of \$400 to a maximum fine of \$1,000. A third offense will draw a minimum fine of \$1,000 and a seven-day suspension. A fourth offense requires a minimum fine of \$2,000 and a 10-day suspension.
- 16. While on the racetrack, drivers will refrain from fraternizing with other drivers and members of the public.
- 17. Anyone racing horses on their own, along with working for another trainer, must notify the Judges and the Racing Office of this potential conflict.
- 18. Licensed trainers must train all horses owned wholly or in part by said licensee unless otherwise approved by the stewards.

STABLING/BARN AREA

- 1. Horses shall have the right of way in the stable area at all times.
- 2. Any person operating a motorized vehicle must have a state issued operator's license at all times.
- 3. Any motorized vehicle in the stable area must have state registration as required by law.
- 4. Posted speed limits must be observed at all times.
- 5. All vehicles must be parked only in designated areas.
- 6. Horse trailers must be parked only where indicated.
- 7. Garbage is to be placed in proper receptacles and is not to be thrown in manure bins.



- 8. Horse manure must be deposited in the bins provided and areas must be kept clean.
- 9. Horses are to be bedded only on straw. No shavings are permitted in the barns at Hawthorne Race Course.
- 10. All Fire Prevention rules must be followed by everyone on the grounds of Hawthorne Race Course.
- 11. Firearms are strictly prohibited.
- 12. Alcohol consumption and the possession and/or use of controlled substances in the stable area are prohibited.
- 13. Smoking is not permitted in the paddock or stable areas.
- 14. Dogs are not permitted in the stable area or living quarters at Hawthorne Race Course.
- 15. Living in the barns is prohibited. Tack rooms are to be used for equipment storage only.
- 16. Groom's quarters at Hawthorne Race Course are subject to all safety and security policies. Dormitory residents are responsible for the condition of their assigned rooms. All dormitory rooms must be
- 17. Trainers are responsible for informing the security office of any change in their staff.
- 18. Applicant agrees to vacate stalls and move all horses, equipment and personnel off the premises within 48 hours of notice to do so.
- 19. Hawthorne Race Course is not liable and assumes no responsibility for loss by fire, theft or accident of or to persons, animals, equipment or vehicles by any or all of the foregoing. Use of the grounds, track or facilities at Hawthorne Race Course is at the risk of the party or parties responsible for entries and is assumed by those individuals.
- 20. Hawthorne Race Course has the right to revoke these privileges for violations of any of the items listed above.